

Sub-Grant Application Form

Forest Carbon Partnership Facility-Capacity Building on REDD+ for Forest-Dependent Indigenous Peoples in East Asia and the Pacific (EAP) and South Asia Region (SAR) Project (FCPF-CBP)

Section 1: Contact Details

1.a Legally registered name of the organization (Attach an English version of the copy of Certificate of Registration or any other document that certifies its legal personality)			
Vanuatu Foresters Association (VFA)			
1.b Official address of the organization			
Tagabe, P.O.Box 1469, PORT VILA, Vanuatu			
1.c Website of the organization if any			
1.d Person authorized to sign the Sub-Recipient Agreement		1.e Person responsible to implement the sub-project if different from 1.d	
Name	Bani William Arudovo	Name	Bani William Arudovo & the VFA Admin. Team
Position	Chairman	Position	Chairman and VFA executive
Telephone & Fax	(+678) 5903855	Telephone & Fax	(+678) 5903855, 7752724, 7733656,
Email address	baniarudovo@yahoo.com	Email address	baniarudovo@yahoo.com
Skype address		Skype address	
1.f Person responsible for finance (must be different from 1.d and 1.e)			
Name	Ruth Nalau	Telephone & Fax	(+678) 7356260
Position	Treasurer	Email & Skype	rnalau@vanuatu.gov.vu

Section 2: Sub-Project Identification

Sub-Project title	Building the capacity of the Vanuatu indigenous forests dependent population to be aware of REDD+ and how they could adjust their socio-economic activities in order to enhance the carbon stocks and simultaneously adapt to impacts of climate change.
Describe the Sub-Project implementation area/s and if possible, the indigenous peoples who will directly benefit from the sub-project: (the location should be in the same area/s where there are ongoing government activities on REDD+ readiness and/or emissions reduction)	
<p>Vanuatu is a Y-shaped archipelago which lies in the western Pacific Ocean in the middle of a triangle formed by the Solomon Islands, New Caledonia and Fiji. The archipelago stretches approximately 1,400 km from north to south between the latitudes of 13 and 21° S and longitudes of 166 and 172° E and comprises more than 80 islands, 67 of which are inhabited, and 12 of which are considered major in terms of land area. The islands are of volcanic origin along with coral atolls.</p> <p>Vanuatu's total land area is about 12,190 km of which 36% has forest cover (>10m tree height), 43% is lower woody and mangrove vegetation and 21% in other land uses. The Exclusive Economic Zone</p>	

(EEZ) covers an estimated area of 680,000 km² and the country shares maritime borders with New Caledonia, the Solomon Islands, and Fiji.

The Vanuatu constitution states that all land and resources on the land belongs to the indigenous custom owners and their descendants and custom rules are the basis for the ownership and use of land and resources on that land. The landowners have the sole ownership of their land and are in the first place responsible for management decisions. Land cannot be alienated, but can be leased from the landholders for fixed periods and for agreed purposes. In the field natural features such as creeks and ridges normally mark traditional land boundaries of the various clan lots. Indigenous people are inseparable from their land as it provides them their basic needs such as water, food, shelter, fuel wood, building materials as well as commercial benefits derived from sale of forest products. Most parts of Vanuatu land is inherited through patrilineal system but there are few areas that used matrilineal.

Vanuatu is one of the 57 so called small island developing states (SIDS) recognizes by the United Nations that the tropical islands are at risk of being severely affected by the current and anticipated impacts of climate variability and change, including both extreme events and gradual environmental changes. Already Vanuatu is struggling with the environmental problems such as land degradation, biodiversity losses, and coastal and marine pollution caused, inter alia, by population growth and urbanization as in addition to the common development challenges such as smallness, remoteness, and proneness to natural disasters.

Economic growth in Vanuatu over the last eight years has been high, averaging 4.3% per annum and is dominated by the service sector (68%); followed by agriculture (22%) and a smaller industry sector (10%) (VNSO, 2011).

Forests are an important renewable resource for Vanuatu. This resource as seen today has not been sustainably used, particularly by the forest dependent indigenous groups. Forest awareness training in the past has found that lack of understanding sustainable practices by forest users has resulted in forest degradation, but at the same time, it was recorded that communities are keen to use their forests wisely if they are well informed and trained on the practices that promote sustainable use of their forest resources. REDD+ therefore is an important platform for Vanuatu to build capacity and knowledge of forest dependent and indigenous groups on appropriate management techniques, in a manner that they become the leaders in sustainable management and protection of forests.

Vanuatu has selected five islands as its strategic REDD+ islands. These islands were selected to represent the different forest management activities in the country, and the different activities on the selected islands represent the whole of Vanuatu.

REDD+ implementation in Vanuatu is guided by the Readiness Proposal and the FCPF Readiness funds covers two and a half components out of the seven components. These components relate to capacity building, institutional set up and strengthening, and the Analytical studies, FGRM and SESA.

While Vanuatu is small in land mass, it consists of many islands separated by the sea making transportation and communication difficult and very challenging. This is a challenge that the national REDD+ is facing in its efforts to build capacity and knowledge of forest dependent communities and

the indigenous on REDD+. Also, the majority of the FCPF funding has been allocated to the Analytical, FGRM and SESA studies, and also Vanuatu is currently restructuring its readiness proposal to include MRV, therefore limiting financial support towards building capacity of indigenous and forest dependent people. So far, only a very limited number of communities have been consulted through the current REDD+ program, more communities need to be consulted and informed on REDD+.

Building capacity of indigenous and forest dependent communities is very important; more so in Vanuatu because land and forests are owned by indigenous people. Therefore to ensure that the national REDD+ program advances, it is important that more work is done in this area, building on programs already implemented by the REDD+ program.

The current application by the Vanuatu Foresters Association aims at consulting and raising more awareness among indigenous communities on the five strategic REDD+ islands (Santo, Malakula, Efate, Erromango and Tanna) and also include two other islands (Epi, Aneityum). The main aim of this proposal is to focus on capacity building of indigenous community groups on REDD+, on how they can participate in the program. It is intended extend the knowledge and capacity on REDD+ by covering communities that have not been consulted or informed by the through the national REDD+ program, with the aim of increasing awareness and building capacity of more individuals on REDD+. This proposal aims at raising the capacity of 21 communities through 21 consultation and capacity building workshops on the seven selected islands.

According to the Vanuatu National Census, the population of these seven islands is quite high with Santo having 39,606, Efate with 65,829, Malekula with 22,934, Tanna with 28,799, Epi with 5,207, Erromango with 1,950 and Aneithyum with 915. These are big islands with quite a high population so the project will target some areas which are seen to be more engaged in the forests sector and/ or impacted by Climate Change. As such, for each island the project will support the southern Part of Santo, Northern Part of Malekula, Northern Part of Epi, Northern Part of Efate, Eastern Part of Tanna, South Erromango and Southern part of Aneithyum.

Estimated number of direct beneficiaries	Male: 400	Female: 300
Estimated number of indirect beneficiaries	Male: 2000	Female: 1000
Sub-Project duration [should not be more than fifteen (15) months]	11 Months	
Total Sub-Project cost (amount requested plus counterpart in USD)	50,000	
Amount requested for the sub-project (in USD)	47,500.	
Amount provided by your organization (in USD, please specify cash/in kind)	2,500 in kind	
Amount provided by other sources (in USD, please specify cash/in kind)	8,000 – (in kind – labour & local training materials from target communities)	

Section 3: Applicant Organization Information

Describe briefly your organization: mission, priorities, and major projects/programmes implemented with similar activities being proposed under the FCPF-CBP.

The VFA's mission is to see a robust forestry sector that is socially and economically beneficial to all Vanuatu citizens, both in the present and in years to come.

However, since the association is new and has access to limited funds it could only assist selected schools and communities in enhancing tree planting – for general uses, inclusive of timber trees, nut trees and ornamentals. VFA is a registered civil society organization (CSO) with the Vanuatu non-government organization (Vango) which is an umbrella organization for NGOs in Vanuatu. Since the adoption of the CSO REDD+ platform, the VFA began to actively involve in the implementation of the REDD+ through community awareness/consultations and capacity building activities. VFA has been represented at the CSO REDD+ platform through the chairperson of the organization.

How is your organization governed (example Board of Directors/Trustees, Council of Elders/Leaders, etc)? How often do they meet?

The Association is governed by the Executive Council

a. The officers of the Executive Council consist of President, Vice President, Secretary, Treasurer together with two other members whom are elected at the annual general meeting held every two years;

b. The Executive Council meets at least quarterly, and minutes are kept of all meetings. The President is responsible for calling meetings, at least two (2) weeks in advance. A quorum comprises 2/3 of the members of the Council. Any member who fails to attend two consecutive meetings without valid excuse loses their membership on the council. The Executive Council has the power to fill a vacancy caused by death, resignation or default until the next annual general meeting;

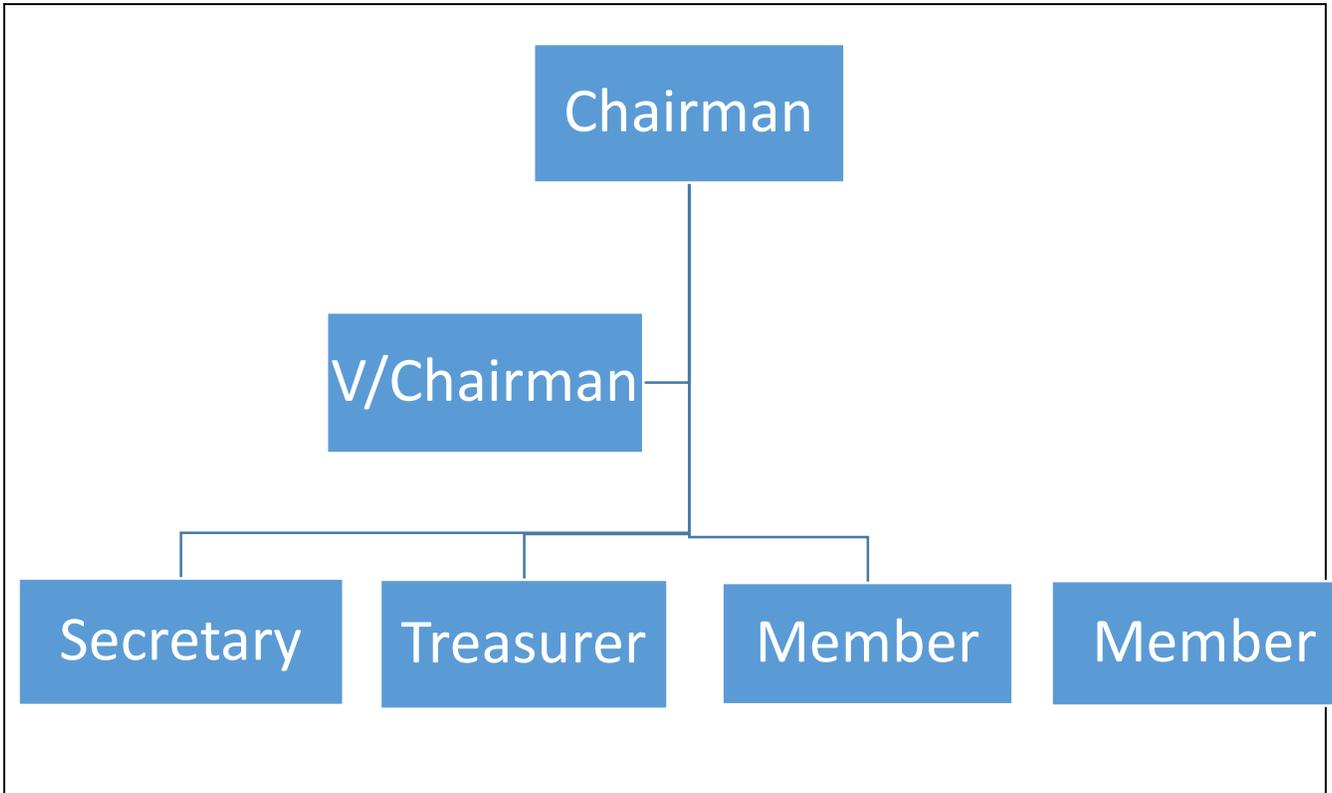
c. The Executive Council may appoint sub-committees to discharge of its functions or duties from time to time;

d. The Executive Council can appoint such staff as may be necessary for the administration of its work and determines terms, conditions, duties and remuneration; and where; necessary, dismissal;

e. The Executive Council tables a report, at each VFA meeting on activities since the previous meeting.

Describe your organization structure or attach your organizational chart.

The VFA is led by the Chairman (president) and assisted by the vice president while the secretary assists in organizing meetings, minute takings and liaising with other institutions. The treasure handles the funds issues though with approval of the executive. The executive approves of funds usage. For cash withdrawals from the association's bank account it requires any two of the signatories to sign before withdrawals can be made. All members of the VFA executive as well as all general members are indigenous Ni-Vanuatu and members have actively involved in capacity building and awareness raising and training on forest related issues in the past. Being a local NGO with a broad knowledge on matters related to forest management, and through its membership on the CSO REDD+ platform, it understands fully the capacity building needs of the indigenous groups and also so to deliver a program that effectively increases the capacity and knowledge of indigenous groups in the national REDD+ program.



List down your organization's policies and procedures including finance and procurement or attach a copy. Successful applicants must submit these documents.

Find attached the VFA constitution

List your organization's membership/part of a Network or Alliance. Include those with government, if any.

Name of Alliance/Network	Contact Person	Contact Details
Vanuatu Non-Government Association (VANGO)	Charlie Harrison	vangosec@gmail.com

List down your organization's sources of funds from 2014 to the present

Name of Donor	Contact Person	Contact Details
Institute of Foresters of Australia (IFA)	David Wood	wood4datrees@gmail.com

Are the funds of your organization subjected to annual external audit? If yes, kindly enter the name and contact details of the external auditor and attach a copy of the recent audit report including the letter to management for the recent fiscal year.

Name of the External Auditor	Contact Details
N/A	N/A

Describe your organization's previous or ongoing projects/activities on REDD+ at local and national levels including engagement with government/government agencies.

The VFA has recently collaborated with the national civil society organizations in organizing events for the national tree planting day which was carried out throughout the country. Apart from that, the VFA has been engaging with four communities in four different islands in the archipelago, to assist them reforest and rehabilitate their lands and improve their livelihood after being hit by a category five tropical cyclone (TC Pam_ in March 2015. The activities involved provision of nursery materials, setting up of community forestry nurseries and training in nursery establishment and management.

<i>Describe fluency of staff in the language/s of targeted indigenous peoples.</i>			
The executive as well as members of the association are all indigenous peoples of Vanuatu Vanuatu (inclusive of the five REDD+ islands) and are fluent in their own dialect as well as the common Vanuatu language which is Bislama. All members of the association have involved in community consultation and capacity building activities in past years,			
<i>Elaborate on how your organizations settle/address complaints.</i>			
Since the establishment in 2013 the association has not encountered any complaints however, should there be any with whomever (within or without the association) we do believe in communication. Hence, as it is with our culture we hope to reach an amicable resolution to any dispute that may arise over a 'round table' discussion.			
<i>Rate your organization on the following, 10 points being the highest.</i>			
Technical capacity	9	Reports preparation	9
Safeguards implementation	9	Monitoring & evaluation	8

Section 4: Proposed Sub-Project Information

<i>4.1 Please provide a short overall description of your Sub-Project. Why is the Sub-Project important to forest-dependent indigenous men and women beneficiaries?</i>
The Government of Vanuatu started implementing its REDD+ Programme in 2015 after signing of the Grant Agreement in April 2013. The implementation of the activities are mostly centred around the setting up of National REDD+ Unit, setting up of Provincial Technical Committees, Consultation and Participation with the stakeholders, Analytical studies, and SESA. These activities are supported by the FCPF funding. However there are other host of activities that needs to be carried out in order to suitably address the need of the forest dependent community. There is a need for the forest dependent community to understand REDD+, understand their involvement in the programme and how they could adjust their socio-economic activities in order to enhance the carbon stocks and simultaneously adapt to impacts of climate change.
<i>4.2 What are the issues and problems of forest-dependent indigenous men and women that the Sub-Project will address? Why are these critical to them?</i>
The major issues and problem of the forest-dependent indigenous community is the lack of capacity and understanding of the issues of REDD+ as well as general forest management issues. To an extent, they lack understanding for their involvement in implementing the REDD+ Programme. Also, how they can take advantage of the programme and enhance their socio-economic activities. Likewise they are ignorant of what they may have been doing or which they could do on their part that contributes to the REDD+ initiative
There is also a need for wider consultation at the decentralized level, particularly within the local communities. Thus, requires the production and availability of more awareness materials.
<i>4.3 Sub-Project Objective (what is the desired contribution of the proposed Sub-Project to the community and/or organization?)</i>
The Project can be achieved strategically by:
1. Building the capacity of local community through training workshop; a total of three trainings per seven selected islands on REDD+ and Climate Change

<p>2. Enhance knowledge of indigenous groups on potential REDD+ activity options through field days and excursions.</p> <p>3. Strengthening the interaction between indigenous communities and REDD+ decision makers for a stronger voice of indigenous peoples in related decision making</p>	
<p>4.4 Desired Outcomes (<i>what benefits or immediate changes do you anticipate by the end of the Sub-Project?</i>)</p>	
<p>The desired outcomes include:</p> <ol style="list-style-type: none"> 1. The capacity and the knowledge of the indigenous local people are built in order to be able to know about REDD+ and how to engage in the socio-economic activity to strengthen their livelihood and at the same time addressing the climate change issues. 2. The indigenous forest-dependent communities have access to the awareness materials and improved their knowledge and capacity on the socio-economic activities and REDD+ 3. Twenty one training workshops have been conducted in the seven islands, and the capacity of 100 people per island on REDD+ improved 	
<p>4.5 Kindly enumerate and describe the activities to be implemented by the Sub-Project for a period of not more than fifteen (15) months. (<i>group the activities which contribute to a single result</i>)</p>	
<p>Activities Under Sub-Component 1: Capacity building through Training workshop:</p>	<p>Expected Outputs</p>
<ol style="list-style-type: none"> 1. Design and organize training based on community needs 2. Organization of 21 training workshop on REDD+ and climate change on the seven selected islands 3. Field days organized for communities Climate change and REDD+ options. 4. Production and Printing of awareness materials such as posters, leaflet, DVD; the awareness materials that relates to REDD+ and Climate Change and the Socio-economic activities as a means of addressing the community livelihood and coping with Climate Change 	<ol style="list-style-type: none"> 1. A bottom-up approach taken in addressing the community needs 2. 21 Training Workshop on REDD+ and climate change workshops conducted on seven islands 3. Indigenous communities broaden their knowledge on climate change and REDD+ options. 4. An estimate of 3,000 worth of training materials, Production of - 70 posters, 1,000 leaflets, 70 DVDs
<p>Activities Under Sub-Component 2: Support and Empowerment of IP organizations and Institutions</p>	<p>Expected Output</p>
<ol style="list-style-type: none"> 1. Organization of an inception workshop for the stakeholders at national, provincial and local levels – REDD+ Unit, REDD+ TC, CSOs and Forestry 2. Organization of a Stakeholders forum; those involved in REDD+ to share project results, lessons learned and recommendations of indigenous peoples on REDD+ 	<ol style="list-style-type: none"> 1. Relevant stakeholders be informed about the project through an inception workshops 2. A Stakeholders forum carried and sharing of project results and lessons learned from project activities
<p>Activities Under Sub-Component 3: Monitoring and Evaluation</p>	<p>Expected Outputs</p>

<ol style="list-style-type: none"> 1. Monthly summary of expenses preparation 2. Quarterly Report Preparation 3. A completion evaluation on the project outcome 4. Auditing 	<ol style="list-style-type: none"> 1. Monthly summary of expenses and accompanying photocopies/scanned copies of expenses supporting documents submitted to Tebtebba 2. Quarterly reports to Tebtebba 3. A completion Report 4. An Audit Report
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4.6 Describe any important external factors that may affect Sub-Project implementation and how will you mitigate these potential risks.

- a). Geographical locations of the islands hence, costly traveling from Vila – The islands are spread out in the group however, we will look to utilize the available resource people residing in the said islands or residing within the province of interest.
- b). Activities can be disturbed during the wet season by bad weather and, or cyclones – we will plan out important and lengthy activities to be executed during the dry season from April to November.

4.7 Describe previous engagements of your organization with the forest-dependent indigenous peoples who will benefit from this Sub-Project and how they will be involved in Sub-Project implementation, monitoring and evaluation.

The majority of members of the VFA have forestry – some of whom are still actively working within the government while others are either retired professionals or actively working with NGOs or others organizations. All members of VFA are indigenous Vanuatu citizens and have actively participated in activities involving communities on forest and environmental services. This sub activity is specifically for indigenous communities’ participation and training. After the training, VFA will involve trained indigenous groups in the monitoring and evaluation of the training activities.

4.8 Is the national focal point on REDD+ aware of your sub-project proposal? If yes, what are the common activities in your sub-project proposal with their plans/activities?

The National focal point on REDD+ is very much aware of this proposal. The common activities with the national REDD+ program is capacity building. REDD+ as a mechanism to address climate change is a new activity in forestry, and discussions on the national level with the REDD+ Unit has been that there needs to be more consultation and capacity building at the community level on REDD+. The CSO platform has conducted some capacity building training but that is not sufficient and more indigenous communities need to be involved. The REDD+ Unit has been informed of VFA submitting this proposal and the Unit has endorsed this proposal as it is directly inline with the national REDD+ program.

4.9 Which other groups/organizations, including government, will be involved in Sub-Project implementation?

Name of group/organization	Roles/Responsibilities
<ol style="list-style-type: none"> 1. Department of Forests 2. Provincial Government 3. Relevant CSOs/NGOs 	<ol style="list-style-type: none"> 1. The Department of Forests will provide information on sites suitable for visits on each island. 2. The Provincial government will provide for ground works and facilitation on the provincial level 3. Members of the CSO to provide copies of training materials

4.10 How will the Sub-Project gains be sustained after Sub-Project implementation?

For the sustainability of the project, The Vanuatu Foresters Association and the Department of Forests will be responsible to provide oversight and monitoring of the activities. Through the good monitoring of the Vanuatu Foresters Association and the Department of Forests with its extension officers will support the community to monitor the activities.

4.11 How will you ensure the proportionate participation of men, women and youth in the sub-project planning, implementation, monitoring and evaluation?

VFA is an association that promotes the inclusion of both men and women; as such the Executive council is made up of both men and women. Furthermore, forest dependent community consist of both men and women and youth, some of which have different priority in terms of using the forest; thus is a need to adhere to all the need.

The training component of the project will target mostly women and youths to enhance their capacity to engage in these socio-economic activities. Upon establishment of these model activities, it is anticipated that woman and youths should play the leading roles in running, operating and managing these activities.

Section 5: Detailed Work Plan

Activities	Months										
	1	2	3	4	5	6	7	8	9	10	11
Output 1: Capacity building through Training workshops and field visits:											
Activity 1.a: Design and organize training based on community needs											
Activity 1.b: Organization of 21 training workshop; 3 on each of the seven islands											
Activity 1.c: Production and Printing of awareness materials; posters, leaflet, DVD; the awareness materials that relates to REDD+ and Climate Change and the Socio-economic activities as a means of addressing the community livelihood and coping with Climate Change											
Activity 1.d. Field Day – Visiting of existing forest activities and other socio-economic models as part of the capacity building process											
Output 2: Engagement with Relevant Stakeholders involved in REDD+											
Activity 2.a: Organization of an inception workshop for the stakeholders at national, provincial and local levels – REDD+ Unit, REDD+ TC, CSOs and Forestry											
Activity 2.b: Organization of a forum for relevant stakeholders involved in REDD+ to share project results, lessons learned and recommendations of indigenous peoples on REDD+											
Output 3: Monitoring and Evaluation											

Activity 3.a: Monthly finance report, Quarterly Progress Report preparation and submission											
Activity 3.b: Preparation and submission of completion report											
Activity 3.c Auditing and submission of audit report											

Section 6. Proposed Budget

Activities	Amount Requested	Proponent's Contribution	Total Budget
	(A)	(B)	(C) = (A+B)
Output 1: Capacity building through Training workshops			
Activity 1. a. Design and organize training based on community needs (VFA & Forestry Executive Meeting)			
Communication	0	2,500	2,500
Working lunch for VFA Executive, VANGO and Forests Officers meeting (20 people)	200		200
Activity 1.b: Organization of 21 training workshop; 3 on each of the seven islands			
Local transport for 7 sites/ 3 times/ \$100	2,100		2,100
Airfare return for 2/7 islands/ 3 times	12,400		12,400
DSA for 2/ 3 nights/ \$50	300		300
Working Lunch for 21 workshops/ 20 participants/ \$10	4,200		4,200
Stationaries for 7 islands/ 3 times/ \$200	4,200		4,200
Venue Hire for 21 workshop/ \$100	2,100		2,100
Generator Hire for 21 workshop/ \$50	1,050		1,050
Fuel for 21 sites/ \$50	1,050		1,050
Activity 1.c: Production and printing of awareness materials such as posters, leaflet, DVD; the awareness materials that relates to REDD+ and Climate Change and the Socio-economic activities as a means of addressing the community livelihood and coping with Climate Change			
Production of 70 poster	3,500		3,500
Production of 700 leaflets	1,000		1,000
Production of 70 DVDs/ Documentary	4,900		4,900
Activity 1.d: Organization of 7 field trips, visiting of existing and successful livelihood activities.			
Local transport for 7 sites/ \$100	700		700
Organising costs for 7 field site visit/ \$100	700		700
Output 2: Engagement with Relevant Stakeholders involved in REDD+			
Activity 2.a: Organization of an inception workshop for the stakeholders at national, provincial and local levels – REDD+ Unit, REDD+ TC, CSOs and Forestry			
Working Lunch (30 people)	300		300
Activity 2.b: Organization of a forum for relevant stakeholders involved in REDD+ to share project results, lessons learned and recommendations of indigenous peoples on REDD+			
Local transport for 7 sites/ \$100	700		700
Venue Hire/ \$100/ 7 sites	700		700
Working lunch for 20 people/ \$10/ 7 sites	1,400		1,400
Output 3: Monitoring and Evaluation			
Activity 3.a Reports Preparation			
Activity 3.b Evaluation on the project outcome			
Hire of Evaluator	3,000		3,000
Activity 3.c Auditing			
Hire of Auditor	3,000		3,000
TOTAL	47,500	2,500	50,000

